

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:  
Maas, Steven J.

Serial Number: 09/902,508

Filing Date: July 10, 2001

Title: Wavelength Drop with Integral  
Optical Amplifier

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Group Art Unit: 2633

Examiner: *Unassigned*

Attorney Docket No.: P30296US

Box Patents  
Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Patents, Commissioner of Patents, Washington, DC 20231, on the date of signature below.

Signature

Date

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts of Nonprovisional Application dated August 24, 2001, attorney for Applicant hereby submits the Combined Declaration and Power of Attorney executed by the inventor, Steven J. Maas, on September 6, 2001.

Pursuant to 37 CFR 1.16(e) enclosed is our check in the amount of \$130.00. However, the Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of fees as set forth in 37 C.F.R. 1.16-1.17.

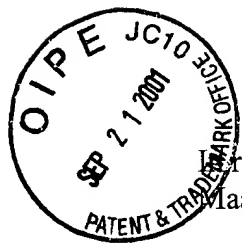
Respectfully submitted,

Date: 9/14/01

By: Erik J. Osterrieder

Erik J. Osterrieder  
Reg. No. P-48,966  
Arnold & Associates  
2603 Augusta, Suite 800  
Houston, Texas 77057  
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ATTORNEY FOR APPLICANT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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Maas, Steven J.

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Signature

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Dear Sir:

TRANSMITTAL LETTER

Enclosed for filing with the above-referenced application are the following documents:

- ☒ Response to Notice to File Missing Parts *with* signed Combined Declaration and Power of Attorney form;
- ☒ Information Disclosure Statement;
- ☒ Form PTO-1449 *with* cited references;
- ☒ Recordation Form Coversheet *with* Original Assignment
- ☒ Check in the amount of \$130.00, pursuant to 1.16(e);
- ☒ Check in the amount of \$40.00, pursuant to 1.21(h); and
- ☒ Return-receipt postcard.

The Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of fees as set forth in 37 C.F.R. 1.16-1.17.

Respectfully submitted,

Date: 9/14/01

By: Erik J. Osterrider

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ATTORNEY FOR APPLICANT



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,508	07/10/2001	Steven J. Maas	P30296US

CONFIRMATION NO. 7387

## FORMALITIES LETTER



\*OC000000006469770\*

ARNOLD & ASSOCIATES  
2603 Augusta Drive, Suite 800  
Houston, TX 77057

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

H.T.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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